



IAP12 Rec'd PCT/PTO 10 OCT 2006

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: October 6, 2006

Name: Jeffrey H. Canfield

Signature:

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Marcus Hennecke

Appln. No.: 10/562,355

Filed: December 27, 2005

For: SYSTEM FOR CONTROLLING A SPEECH  
DIALOG SYSTEM AND SPEECH DIALOG  
SYSTEM

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Attorney Docket No: 11336/1234 (P03063US)

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal Letter (in Duplicate); Copy of Notification of Missing Requirements; Response to Notice to File Missing Parts (in Duplicate); Petition and Fee for Extension of Time (in Duplicate); and Executed Declaration;
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ Surcharge in the amount of \$130.
- ☒ An extension fee in an amount of \$1,590 for a four-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☒ A check in the amount of \$1,720 is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit

10/16/2006 MKAYPACH 0000136 10562351925.

01 FC:1617

130.00 OP

Date

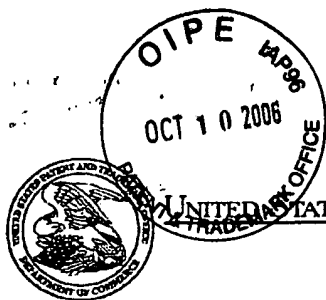
10/6/06

Respectfully submitted,

  
Jeffrey H. Canfield (Reg. No. 38,404)

BRINKS HOFFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

BRINKS  
HOFFER  
GILSON  
& LIONE



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/562,355	Marcus Hennecke	11336-1234 (P03063US)

INTERNATIONAL APPLICATION NO.
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PCT/EP04/07115

I.A. FILING DATE	PRIORITY DATE
06/30/2004	06/30/2003

Brinks hofer Gilson Lione  
 P O Box 10395  
 Chicago, IL 60610

CONFIRMATION NO. 6291

## 371 FORMALITIES LETTER



\*OC000000018462054\*

Date Mailed: 04/06/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/27/2005
- Copy of the International Search Report filed on 12/27/2005
- U.S. Basic National Fees filed on 12/27/2005
- Priority Documents filed on 12/27/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/562,355	PCT/EP04/07115	11336-1234 (P03063US)

FORM PCT/DO/EO/905 (371 Formalities Notice)



hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313  
on October 6, 2006

Date of Deposit

Jeffrey H. Canfield

Name of applicant, assignee or  
Registered Representative

*Jeffrey H. Canfield*

Signature

10/6/06

Date of Signature

Case No. 11336/1234 (P03063 US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Marcus Hennecke

Serial No.: 10/562,355

Filed: December 27, 2005

For: SYSTEM FOR CONROLLING A  
SPEECH DIALOG SYSTEM AND  
SPEECH DIALOG SYSTEM

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.: Mail Stop Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated December 27, 2005, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

- ☒ Applicant:
- ☐ claims small entity status. See 37 CFR1.27.
- ☒ is other than small entity.
- ☒ Checks totaling \$1,720 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☐ Search Fee of \$\_\_\_\_.
- ☐ Examination Fee of \$\_\_\_\_.
- ☐ Application Size Fee (for each additional 50 sheets that exceeds 100 sheets, including specification and drawings) of \$\_\_\_\_.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate) \$1,590.
- ☐ Other: \_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: October 6, 2006



Jeffrey H. Canfield  
Registration No. 38,404  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
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